

Location*

NHSL

NHS LANARKSHIRE STRATEGIC RISK REGISTER



Risk Category**

Health and Clinical Governance

Date

September 2005

Review Date

March 2006

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of

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1	2	3	4	5	6	7	8	9	10	11	12
Risk Ref	Description of Risk	Risk Exposure		Risk Exposure Rating Col 3 x Col 4	Assessed Risk Low Moderate High	Control Measures	Control Score	RISK RATING		Risk Owner	Risk Ranking
		Like-likelihood (L)***	Severity (S)****					ACTUAL Col 5 x Col 8	TARGET Col 5 x Target Control Score		

HC 01	<p>Concerns that NHSL may be unable to sustain viable clinical services as a result of:</p> <ul style="list-style-type: none"> ➤ The impact of employment legislation. ➤ Failure to adequately communicate on practices and systems within the organizations and to patients or the public. ➤ Possible diversion of energy and resources to implement structural changes. ➤ Increasing levels of demand on services, including sudden increases. 	5	4	20	High	<p>Development and implementation of single system working, including introduction of CHP's; NHS Board restructuring; regional planning; alignment of employment practices to national legislation and policies; workforce planning and development; service (re-design); specialty review and audit; health education and promotion; development and implementation of communication and IM&T strategies; PFPI initiatives; staff governance; partnership working; professional advisory committees; information governance. Contingency plans for business continuity.</p>	4	80		MD	1
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HC 02	<p>Risk that NHSL is unable to prevent or contain infectious disease, or environmental hazards</p> <ul style="list-style-type: none"> ➤ Within the community at large ➤ At institutional level (hospital, care home etc) <p>Failure of established Public Health programme.</p> <p>Diseases - cervical } screening - breast } - antenatal }</p> <p>Vulnerable groups – child protection.</p>	5	4	20	High	<p>Surveillance (early warning) Prevention</p> <ul style="list-style-type: none"> ➤ Health promotion and information ➤ Training ➤ Infection control ➤ Immunisation/ Vaccinations <p>Incident Management</p> <ul style="list-style-type: none"> ➤ Major Emergency Plan ➤ Site plans ➤ Exercising <p>Communication</p> <ul style="list-style-type: none"> ➤ Major Emergency Plan ➤ Staff education and training 	2	40		DPH	2
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HC 03	Risk that, despite organisational and support systems, there is potential for failure to report timeously and appropriately on child protection.	5	4	20	High	Compliance with national standards working in collaboration with other agencies; care supervision system; record keeping, information; monitoring and reporting; training programme.	1	20		DN	4
HC 04	NHSL's clinical governance strategy does not succeed in providing a framework for orientation and accountability for clinical effectiveness activities.	4	3	12	Mod	Health and clinical governance strategy; clinical effectiveness strategy; strategic development and re-design. MCN's and clinical communities staff training and development; joint futures and regional planning; services oversight and review.	3	36		MD	3

* Location = Corporate Directorate, Division, LHCC, Hospital, Directorate or Department

** Risk Category = Clinical, Corporate, Finance, HR, Operational

*** (L) = 1-Rare, 2-Unlikely, 3-Possible, 4-Likely, 5-Almost Certain

**** (S) = 1-Insignificant, 2-Minor, 3-Moderate, 4-Major, 5-Catastrophic